

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Harsh Gopal

Serial No: 09/228,103

Filed: January 11, 1999

Examiner: Unknown

For: FOOD CASING RELEASE
COATING COMPRISING
POLYGLYCERYL ESTERS

Art Unit: 3641



I certify that this **Statement in Compliance** is being deposited on **February 18, 1999** with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Michael L. Dunn

Registration No. 25,330

STATEMENT IN COMPLIANCE WITH 37 CFR 1.63

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed January 29, 1999 (copy attached), this is to certify that the specification by the above-named applicant attached to the declaration is a copy of the same specification filed in the Office on January 11, 1999 in order to obtain a filing date.

The \$130.00 surcharge is authorized to be charged to Deposit Account No. 20-0339 for late filing of the declaration.

This statement is being made in conformity with the Commissioner's notice published in 1035 TMOG 2 (October 4, 1983).

Dated: February 18, 1999

Respectfully submitted,

Michael L. Dunn

Attorney for Applicant(s)

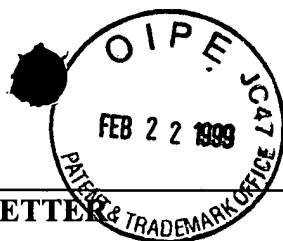
Reg. No. 25,330

P.O. Box 96

Newfane, New York 14108

Telephone: (716) 433-1661

MLD/cah



Section #3

TRANSMITTAL LETTER		Attorney Docket No: TPP:628 US	
Applicant(s): Harsh Gopal			
Serial No: 09/228,103	Filing Date: January 11, 1999	Examiner: Unknown	Art Unit: 3641
Invention: FOOD CASING RELEASE COATING COMPRISING POLYGLYCERYL ESTERS			

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is:

- ☒ Statement in Compliance with 37 CFR 1.63 submitting Declaration attached to specification with \$130.00 surcharge.

IN THE ABOVE IDENTIFIED APPLICATION.

- ☐ No additional fee is required.
- ☐ A check in the amount of \$ is attached to cover above fees.
- ☒ Charge \$130.00 to Deposit Account No. 20-0339.
A duplicate copy of this sheet is enclosed.
- ☒ Please charge any additional fees which may be due with respect to the accompanying papers, including those which may be due under 37 C.F.R. 1.16, 1.17, 1.18 and 1.20, or credit any overpayment to Deposit Account No. 20-0339.
A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated: February 18, 1999

Michael L. Dunn
For Applicant(s)
Registration No. 25,330
P.O. Box 96
Newfane, New York 14108
Telephone: (716) 433-1661

MLD/cah

cc: D. MacDougall
R. Kearby

BEST AVAILABLE COPY

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER: 09/228,103 FILING/RECEIPT DATE: 01/11/99 FIRST NAME OF APPLICANT: DUNN, J. ATTORNEY DOCKET NO./TITLE: H. TFP: 628US

DUNN & ASSOCIATES
PO BOX 96
NEWFANE NY 14108

0232/0129

NOT ASSIGNED

3641
DATE MAILED:

01/29/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.18(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☒ small entity (statement filed) ☐ non-small entity is \$ 130.00

- ☒ 1. The statutory basic filing fee is:
☐ missing;
☐ insufficient.

Applicant must submit \$ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. Additional claim fees of \$ including any multiple dependent claim fees, are required.

\$ for independent claims over 3.
\$ for dependent claims over 20.

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unexecuted;
☐ does not cover the newly submitted items;
☐ does not identify the application to which it applies;
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☒ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☒ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☒ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☒ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☒ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

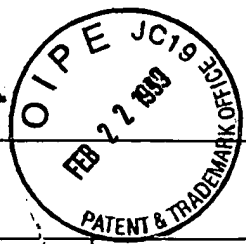
Customer Service Center
Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/25/1999 AIRBORN 0000053 200339 0922133
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GP 3641

06-2499



TRANSMITTAL LETTER		Attorney Docket No:	
Applicant(s): Harsh Gopal		TPP:628 US	
Serial No: 09/228,103	Filing Date: January 11, 1999	Examiner: Unknown	Art Unit: 3641
Invention: FOOD CASING RELEASE COATING COMPRISING POLYGLYCERYL ESTERS			

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is a/an: **INFORMATION DISCLOSURE STATEMENT**

IN THE ABOVE IDENTIFIED APPLICATION.

RECEIVED
FEB 26 1999
JUN 08 1999
GROUP 1700

- ☒ No additional fee is required.
- ☐ A check in the amount of \$ is attached.
- ☐ Charge \$ to Deposit Account No.
A duplicate copy of this sheet is enclosed.
- ☒ Please charge any additional fees which may be due with respect to the accompanying papers, including those which may be due under 37 C.F.R. 1.16, 1.17, 1.18 and 1.20, or credit any overpayment to Deposit Account No. 20-0339.
A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Michael L. Dunn
For Applicant(s)
Registration No. 25,330
P.O. Box 96
Newfane, New York 14108
Telephone: (716) 433-1661

Dated: February 18, 1999

MLD/cah
Enclosures

cc: D. MacDougall
R. Kearby